U.S. Department of Justice

Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention



The U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), Office of Juvenile Justice and Delinquency Prevention (OJJDP) seeks applications for the Fiscal Year (FY) 2011 Best Practices for Juvenile Drug Courts Training. This program furthers DOJ's mission by building the capacity of states, state and local courts, units of local government, and Indian tribal governments to develop and establish juvenile drug courts for substance abusing juvenile offenders.

OJJDP FY 2011 Best Practices for Juvenile Drug Courts Training

Eligibility

OJJDP invite applications from nonprofit organizations (including faith-based, community, and tribal organizations), for-profit organizations, and institutions of higher education (including tribal institutions of higher education). (See "Eligibility," page 3.)

Deadline

Registration with Grants.gov is required prior to application submission. (See "How To Apply," page 9.) All applications are due by 11:59 p.m., Eastern Time, on June 6, 2011. (See "Deadlines: Registration and Application," page 3.)

Contact Information

For technical assistance with submitting an application, call the Grants.gov Customer Support Hotline at 1-800-518-4726 or e-mail support@grants.gov. Hotline hours of operation are 24 hours, 7 days a week, except federal holidays.

For assistance with any other requirements of this solicitation, contact the Justice Information Center at 1–877–927–5657 or via email to JIC@telesishq.com. The Center's hours of operation are 8:30 a.m. to 5:00 p.m., Eastern Time, Monday through Friday. On the solicitation close date, the Center will be open from 8:30 a.m. to 8:00 p.m., Eastern Time.

Grants.gov number assigned to this announcement: OJJDP-2011-2990.

Release Date: April 21, 2011

Contents

Overview	3
Deadlines: Registration and Application	3
Eligibility	3
Program-Specific Information	3
Performance Measures	7
Project Evaluations	8
Notice of New Post-Award Reporting Requirements	8
How To Apply	8
What an Application Is Expected To Include	10
Standard Form-424 (SF-424)	10
Program Narrative	11
Budget Detail Worksheet and Budget Narrative	13
Indirect Cost Rate Agreement (if applicable)	13
Tribal Authorizing Resolution (if applicable)	14
Other Attachments	14
Other Standard Forms	14
Selection Criteria	15
Review Process	16
Additional Requirements	16
Appendix: Application Checklist	18

OJJDP FY 2011 Best Practices for Juvenile Drug Courts Training (CFDA #16.585)

Overview

This initiative supports training for states, state and local courts, units of local government, and Indian tribal governments to build their capacity to develop, maintain, and enhance drug courts for substance-abusing youth who are participating in juvenile drug court programs. OJJDP seeks a provider to deliver training to juvenile drug courts in best treatment practices for adolescents and juvenile drug court operations, based on the *Sixteen Strategies of Effective Juvenile Drug Courts* (hereafter referred to as the Strategies). This program will be authorized by 42 USC Section 3797u-8.

Deadlines: Registration and Application

Registration with Grants.gov is required prior to application submission. OJJDP encourages applicants to register several weeks before the application deadline of 11:59 p.m., Eastern Time, on June 6, 2011. See "How To Apply," page 8, for details.

Eligibility

OJJDP invites applications from nonprofit organizations (including faith-based, community, and tribal organizations), for-profit organizations, and institutions of higher learning (including tribal institutions of higher education) with demonstrated expertise in assisting communities to develop, maintain, and enhance juvenile drug courts. For-profit organizations must agree to waive any profit or fees for services.

OJJDP welcomes joint applications from two or more eligible applicants; however, one applicant must be clearly indicated as the primary applicant (for correspondence, award, and management purposes) and the others indicated as co-applicants. The applicant should provide information that demonstrates the strength of the commitment of their partnering organizations, as set forth in Memoranda of Understanding, letters of support, statement of work, etc.

Program-Specific Information

The goal of the Best Practices for Juvenile Drug Courts Training initiative is to provide training in best treatment practices and juvenile drug court operations to juvenile drug court practitioners. Since the treatment component of the juvenile drug court is critical for successful outcomes, best treatment practices require significant training. Through this program, OJJDP aims to improve the delivery of treatment services of juvenile drug courts nationally, enhance the juvenile drug court model (based on the *Juvenile Drug Courts: Strategies in Practice* found at www.ncjrs.gov/pdffiles1/bja/197866.pdf), promote promising and best treatment practices, facilitate coordination and leveraging of resources, and enhance research efforts.

The provider should be knowledgeable of best treatment programs and practices for adolescents and should have substantial experience in identifying and providing training in best

program practices, conducting assessments of juvenile drug court training needs, developing and conducting training for juvenile drug courts, effectively communicating and collaborating with drug courts, conducting post-training evaluations, and collaborating with other agencies to enhance juvenile drug court treatment outcomes. The successful applicant will be expected to work with a U.S. Department of Health and Human Services (HHS), Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Treatment (CSAT) recommended treatment trainer.

Purpose

The program's purpose is to build competency, performance, and capacity of juvenile drug courts across the nation to better serve youth involved in substance abuse, co-occurring substance abuse, and mental health disorders, to include an emphasis on best practices for the treatment of trauma.

Goals and Objectives

The goal of this initiative is to provide training to juvenile drug courts in best treatment practices (including the treatment of co-occurring disorders and trauma) and juvenile drug court operations so as to build their competency, performance, and capacity. The provider should also have experience in providing peer-to-peer training and technical assistance about how to use, implement, and enhance the model (see "Juvenile Drug Courts: Strategies in Practice" at www.ncjrs.gov/pdffiles1/bja/197866.pdf). The provider should also have substantial experience conducting assessments of training needs, effectively communicating and collaborating with drug courts, and conducting post-training evaluations.

For the purposes of this solicitation, the term "drug court" means a specially designed court calendar or docket; a separate or special jurisdiction court is neither necessary nor encouraged. The successful applicant will provide training to juvenile drug courts that are required by law to target nonviolent offenders. The term "violent offender" means a juvenile who has been convicted of, or adjudicated delinquent for, a felony-level offense that (1) has as an element, the use, attempted use, or threatened use of physical force against the person or property of another or the possession or use of a firearm; or (2) by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense [42 USC 3797u-2(b)].

The program's objectives include the following:

- assess and address the national training needs for the juvenile drug court treatment component;
- develop a data base of best treatment practices;
- provide juvenile drug courts with local and regional training that will enhance their knowledge and skills in implementing best treatment practices, including treatment for cooccurring substance use and mental health disorders and trauma;
- develop, implement, expand, and enhance training and technical assistance materials and activities based on best treatment practices;

- provide training to juvenile drug courts that will build capacity, assess needs, initiate strategic planning, implement appropriate program improvements, evaluate program performance, and help sustain juvenile drug court programs; and
- provide juvenile drug courts with local and regional training that will enhance their knowledge and skills based on the strategies and other best practices.

Deliverables

In addition to the strategy and content of the program design, the successful applicant must complete the following deliverables during the 3-year project period. The program narrative should reflect how the applicant will accomplish the activities. Subsequent deliverables may be developed annually according to need and funding ability. The successful applicant will develop the following:

1. Needs Assessment

- a strategic plan (including timelines, performance measures, and benchmarks for measuring internal progress) that specifies which activities the grantee will conduct to achieve the program goals and objectives;
- a plan of action for the training requests; and
- the capacity to collect and use program evaluation management data.

2. Marketing

 a program marketing plan that outlines the development of products and materials that will inform juvenile drug courts of the available training services.

3. Training

- training and evaluation protocols to ensure consistency and quality of service delivery;
- a directory of training experts in treatment who possess skills and abilities relevant to the juvenile drug court issues identified in the needs assessment; and
- a projected plan for training activities.

Applicants should be realistic in estimating the cost of deliverables and in detailing the implementation schedule. OJJDP also encourages applicants to be innovative and expects applicants to propose alternative approaches to the delivery of training and technical assistance to maximize resources.

Amount and Length of Award

OJJDP will make one award for as much as \$2 million for a 36-month award period. This amount is for the entire award period. OJJDP will make no additional awards in subsequent years. All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

OJJDP will enter into a cooperative agreement with the successful applicant. OJJDP uses cooperative agreements when the Office anticipates that there will be substantial coordination between OJJDP, and the award recipient during the performance of the proposed activities. OJJDP will review and approve all activities in the requirements under the various phases, as enumerated in the solicitation, including timely review and approval of all key personnel selections, instructional designs and teaching materials, consultants, data instruments, training and technical assistance materials, and documents developed or identified for use in all phases of the project. In furtherance of the goals and objectives described above, OJJDP's role will include, but not be limited to, the following tasks:

- reviewing and approving major work plans, including changes to such plans, and key decisions pertaining to project operations;
- reviewing and approving major project-generated documents and materials used in the provision of project services; and
- providing guidance in significant project planning meetings and participating in project sponsored training events or conferences.

Budget Information

Limitation on Use of Award Funds for Employee Compensation; Waiver: With respect to any award of more than \$250,000 made under this solicitation, federal funds may not be used to pay total cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (The 2011 salary table for SES employees is available at www.opm.gov/oca/11tables/indexSES.asp.) Note: A recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with nonfederal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The limitation on compensation rates allowable under an award may be waived on an individual basis at the discretion of the Assistant Attorney General for OJP. An applicant that wishes to request a waiver must include a detailed justification in the budget narrative of its application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit its budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service being provided, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work that is to be done.

Match Requirement. Match is not required for this program.

Performance Measures

To assist the Department in fulfilling its responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants that receive funding under this solicitation must provide data that measure the results of their work. Any award recipient will be required, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. Performance measures for this solicitation are as follows:

Objective	Performance Measure(s)	Data Grantee Provides
Deliver training and technical assistance to organizations implementing the OJJDP-funded Juvenile Drug Court Initiative.	Percentage of juvenile drug court programs implementing evidence-based program or practices.	Number of juvenile drug court programs.
		Number of juvenile drug court programs implementing a best treatment practice.
Design and develop resources and training and technical assistance tools.	Number of training activities conducted.	Number of training activities conducted.
	Percentage increase in number of technical assistance sessions conducted.	Number of technical assistance sessions conducted.
	Number of materials (designed to assist juvenile drug court treatment providers, staff, and mentors) produced.	Number of materials produced designed to assist treatment providers.
	Number of materials distributed to juvenile drug court programs.	Number of materials distributed to juvenile drug court programs and treatment providers.
	Percentage of deliverables (e.g., training curricula, reports) completed on time.	Number of deliverables to be submitted to OJJDP.
		Number of deliverables completed on time.
	Percentage of individuals trained who demonstrate increased knowledge of the program area.	Number of individuals exhibiting increased knowledge of the program area, as measured by a pre- and post-training test.
		Number of individuals trained.

Submission of performance measures data is not required for the application. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section "What an Application Is Expected To Include," page 10, for additional information.

For more information about OJJDP performance measures, see www.ojjdp.ncjrs.gov/grantees/pm/index.html.

Project Evaluations

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations to develop or contribute to generalizable knowledge) may constitute "research" for purposes of applicable DOJ human subjects protections. However, project evaluations to generate internal improvements to a program or service or to meet OJP's performance measure data reporting requirements likely do not constitute "research." Applicants should provide sufficient information for OJP to determine whether the particular project they propose would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ regulatory definition of research.

Research, for the purposes of human subjects protections for OJP-funded programs, is defined as, "a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge (28 C.F.R. § 46.102(d)." For additional information on determining whether a proposed activity would constitute research, see the decision tree on the "Research and the Protection of Human Subjects" section of the OJP "Other Requirements for OJP Applications" Web page (www.ojp.usdoj.gov/funding/other_requirements.htm). Applicants whose proposals may involve a research or statistical component also should review the "Confidentiality" section on that Web page.

Notice of New Post-Award Reporting Requirements

Applicants should anticipate that all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), will be required to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding. Reports regarding subawards will be made through the FFATA Subaward Reporting System (FSRS), found at www.fsrs.gov.

Please also note that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

How To Apply

Applications should be submitted through Grants.gov. Complete instructions on how to register and submit an application can be found at www.grants.gov. Applicants who experience difficulties during this process should call the Grants.gov Customer Support Hotline at 1–800–518–4726. Hotline hours of operation are 24 hours, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, processing delays may occur, and it can take several weeks for first-time registrants to receive confirmation and a user password.

OJJDP recommends that applicants register as early as possible to avoid delays in submitting their applications by the deadline.

All applicants are required to complete the following steps:

- 1. Acquire a DUNS number. A DUNS number is required for Grants.gov registration. OMB requires that all applicants for federal funds (other than individuals, who are exempt from this requirement) include a DUNS number in their applications for a new award or renewal of an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and tracking entities receiving federal funds. The identifier is used to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Obtain a DUNS number by calling 1–866–705–5711 or by applying online at www.dnb.com.
- 2. Acquire or Renew Registration with the Central Contractor Registration (CCR) Database. OJP requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the Central Contractor Registration (CCR) database. An applicant must be registered in the CCR to successfully register in Grants.gov. The CCR database is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. Organizations that have previously submitted applications via Grants.gov are already registered with CCR. However, applicants must update or renew their CCR registration annually to maintain an active status. Information about CCR registration procedures can be accessed at www.ccr.gov.
- Acquire an Authorized Organization Representative (AOR) and a Grants.gov
 Username and Password. Complete the AOR profile on Grants.gov and create a
 username and password. Applicants must use their organization's DUNS number to
 complete this step. For more information about the registration process, visit
 www.grants.gov/applicants/get_registered.jsp.
- 4. Acquire Confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The applicant's E-Biz POC must log into Grants.gov to confirm the organization's AOR. An organization can have more than one AOR.
- 5. **Search for the Funding Opportunity on Grants.gov.** Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.585, titled "Drug Court Discretionary Grant Program," and the funding opportunity number is OJJDP-2011-2990.
- 6. **Submit an Application Addressing All Solicitation Requirements.** Within 24–48 hours after submitting an electronic application, the applicant should receive an e-mail validation message from Grants.gov. The validation will state whether OJJDP has received and validated the application or rejected it, with an explanation. Important: OJJDP urges applicants to submit their applications at least 72 hours prior to the due date of the application to allow time to receive the validation message and to correct any problems that may have caused a rejection notification.

Grants.gov will forward the application to OJP's Grants Management System (GMS). GMS does not accept executable file types as application attachments. These disallowed file types include,

but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".log," ".ora," ".sys," and ".zip."

Grants.gov Technical Issues

Applicants who experience unforeseen Grants.gov technical issues that prevent them from submitting their applications by the deadline must contact OJJDP staff within 24 hours after the deadline and request approval to submit their applications. At that time, OJJDP staff will instruct the applicant to submit specific information detailing the technical difficulties. The applicant must e-mail a description of their technical difficulties, a timeline of submission efforts, their complete grant application, their DUNS number, and Grants.gov Help Desk tracking numbers they have received. After OJJDP reviews the information and contacts the Grants.gov Help Desk to validate the technical issues reported, OJP will contact the applicant to inform them whether their request has been approved or denied. If OJJDP cannot validate the technical issues reported, the Office will reject the application as untimely.

To ensure a fair competition for limited discretionary funds, the following conditions are <u>not</u> valid reasons to permit late submissions: (1) failure to begin the registration process in sufficient time, (2) failure to follow Grants.gov instructions on how to register and apply as posted on its Web site, (3) failure to follow all of the instructions in the OJP solicitation, and (4) technical issues experienced with the applicant's computer or information technology environment.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page, www.ojp.usdoj.gov/funding/solicitations.htm.

What an Application Is Expected To Include

This section describes what an application should include and sets out a number of elements. Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application and, should OJJDP decide to make an award, it may result in OJJDP including special conditions that preclude access to or use of award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that some application elements are so critical that applications that do not respond to the scope of the solicitation, that do not meet the eligibility requirements, that do not request funding within the funding limit, that do not include a program narrative, a budget detail worksheet, or a budget narrative will neither proceed to peer review nor receive further consideration.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. OJP recommends that applicants include resumes in a single file.

Standard Form-424 (SF-424)

The SF-424 is a standard form required for use as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and GMS take information from the applicant's profile to populate the fields on this form. Instructions on completing the SF-424 are available at www07.grants.gov/assets/SF424Instructions.pdf.

Program Narrative

Applicants should submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program. The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point font, preferably Times New Roman. Pages should be numbered "1 of 30," etc. The project abstract, tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced, and will count in the 30-page limit. Material required under the "Budget and Budget Narrative" and "Other Attachments" sections will not count toward the program narrative page count. If the program narrative fails to comply with these length-related restrictions, noncompliance may be considered in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) statement of the problem, (2) performance measures requirements, (3) program design and implementation, and (4) capabilities/competencies. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section.

• Project Abstract

Applicants should include a project abstract as the first page of the program narrative, and it is included in the page limitation specified above. The abstract should not exceed 200 words and briefly describe the project's purpose, identify the population to be served, and summarize the activities that the applicant will implement to achieve the project's goals and objectives. These goals and objectives should focus on short-term and intermediate outcomes (see "Performance Measures Requirements," below). The abstract should describe how the applicant will measure progress toward these goals.

Statement of the Problem

Applicants should describe the following: the need for training on best practices for juvenile drug courts; any previous or current attempts to address the problem; and any research or evaluation studies that relate to the problem and contribute to the applicant's understanding of its causes and potential solutions.

• Performance Measures Requirements

Applicants should describe the goals of the proposed program and identify its objectives. When formulating the program's goals and objectives, applicants must be cognizant of the performance measures that will be required of successful applicants.

Goals. Applicants should describe the program's intent to change, reduce, or eliminate the problem noted in the previous section and outline the project's goals.

Program Objectives. Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be clearly linked to the problem identified in the preceding section and measurable.

Performance Measures. Award recipients are required to report data in support of mandated performance measures for this solicitation (see "Performance Measures," page 7). OJJDP/SAMHSA does not require applicants to submit performance measures data with their applications. Applicants should describe the steps they will take to assemble the information needed to comply with OJJDP's/SAMHSA's performance measures reporting requirements. Award recipients will be encouraged, to the extent possible, use information from existing program records to fulfill performance measures reporting requirements rather than initiating new data collection activities for this purpose. To ensure confidentiality of program records, award recipients will also be required to submit a completed Privacy Certificate preaward. (See www.ojidp.ncjrs.gov/grantees/pm/fag.html for more information).

Program Design/Implementation

Applicants should detail how the project will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables identified in the Program-Specific Information section on page 3.

Logic Model. Applicants should include a logic model that graphically illustrates how the performance measures are related to the project's problems, goals, objectives, and design. Sample logic models are available at ojjdp.ncjrs.gov/grantees/performance.html. The logic model should be submitted as a separate attachment, as stipulated in "Other Attachments," page 14.

Timeline. Applicants should submit a timeline or milestone chart that indicates major tasks, assigns responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" at ojjdp.ncjrs.gov/grantees/timelines.html). The timeline should be submitted as a separate attachment, as stipulated in "Other Attachments," page 14. On receipt of an award, the timeline may be revised based on training and technical assistance provided by OJJDP.

Capabilities/Competencies

Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and operations. Management and staffing patterns should be clearly connected to the project design described in the previous section. This section should describe the experience and capability of the applicant's organization and any contractors that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude.

Letters of Support/Memoranda of Understanding. If submitting a joint application, as described under "Eligibility," page 3, applicants should provide signed and dated letters of support or memoranda of understanding for all key partners that include the following:

 expression of support for the program and a statement of willingness to participate and collaborate with it

- description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational
- estimate of the percent of time that the partner will devote to the planning and operation of the project.

Budget Detail Worksheet and Budget Narrative

Applicants should provide a budget that (1) is complete, allowable, and cost-effective in relation to the proposed activities; (2) shows the cost calculations demonstrating how they arrived at the total amount requested; and (3) provides a brief supporting narrative to link costs with project activities. The budget should cover the entire award period.

• Budget Detail Worksheet

The worksheet should provide the detailed computation for each budget line item, listing the cost of each and showing how the applicant calculated it. For example, costs for personnel should show the annual salary rate and the percentage of time devoted to the project for each employee paid through grant funds. The budget detail worksheet should present a complete and detailed itemization of all proposed costs.

A sample Budget Detail Worksheet can be found at www.ojp.gov/funding/forms/budget_detail.pdf. If the budget is submitted in a different format, the budget categories listed in the sample budget worksheet should be included.

• Budget Narrative

The Budget Narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. The narrative should be mathematically sound and correspond with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how <u>all</u> costs were estimated and calculated and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

For questions pertaining to budget and examples of allowable and unallowable costs, please see the OJP Financial Guide. The OJP Financial Guide can be found at www.ojp.usdoj.gov/financialguide/index.htm.

Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) A copy of the rate approval should be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant federal agency, which will review all documentation and approve a rate for the applicant organization or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. If DOJ is the cognizant federal agency, obtain information needed to submit an indirect cost rate proposal at www.ojp.usdoj.gov/financialguide/part3/part3chap17.htm.

Tribal Authorizing Resolution (if applicable)

If an application is being submitted by either (1) a tribe or tribal organization, or (2) by a third party proposing to provide direct services or assistance to residents on tribal lands, then a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal council or comparable governing body authorizing the inclusion of the tribe or tribal organization and its membership should be included with the application. In those instances where an organization or consortium of tribes proposes to apply for a grant on behalf of a tribe or multiple specific tribes, then the application should include a resolution from all tribes that will be included as a part of the services/assistance provided under the grant. A consortium of tribes for which existing consortium bylaws allow action without support from all tribes in the consortium (i.e., without authorizing resolution or other enactment of each tribal governing body) may submit a copy of its consortium bylaws with the application in lieu of tribal resolutions.

If an applicant is unable to obtain a signed copy of a tribal resolution documenting support for its application, then, at minimum, the applicant should submit an unsigned, draft tribal resolution as part of its application (except in cases where, with respect to a tribal consortium applicant, consortium bylaws allow action without the support of all consortium member tribes). If selected for funding, use of and access to funds will be contingent on receipt of the signed tribal resolution.

Other Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their application. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés when assessing "Capabilities/Competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

- logic model (see "Logic Model," page 12)
- timeline or milestone chart (see "Timeline," page 12)
- résumés of all key personnel
- job descriptions outlining roles and responsibilities for all key positions
- letters of support/MOUs from partner organizations (see "Letters of Support/Memoranda of Understanding," page 12)
- evidence of nonprofit status, e.g., copy of tax exemption letter from the Internal Revenue Service

Other Standard Forms

Additional forms that may be required in connection with an award are available with their instructions on OJP's funding page at www.ojp.usdoj.gov/funding/forms.htm. For successful

applicants, receipt of funds may be contingent upon submission of all necessary forms. Note in particular the following forms:

- Accounting System and Financial Capability Questionnaire (required for any applicant other than an individual that is a non-governmental entity and that has not received any award from OJP within the past 3 years; this form must be downloaded, completed, and then uploaded)
- Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility
 Matters; and Drug-Free Workplace Requirements (required to be submitted in GMS prior to
 the receipt of any award funds)
- Disclosure of Lobbying Activities (required for any applicant that expends any funds for lobbying activities; this form must be downloaded, completed, and then uploaded)
- Standard Assurances (required to be submitted in GMS prior to the receipt of any award funds).

Selection Criteria

OJJDP will rate applications that proceed to peer review on a 100-point scale, based on the following point values for the selection criteria:

- 1. Statement of the Problem (20 points)
- 2. Performance Measures Requirements (20 points)
- 3. Program Design and Implementation (30 points)
- 4. Capabilities and Competencies (20 points)
- 5. Budget (10 points)

See "What an Application Is Expected To Include," page 10, for descriptions of the above criteria.

Review Process

OJP is committed to ensuring a fair and open process for awarding grants. OJJDP reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation and its requirements.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OJJDP may use either internal peer reviewers, external peer reviewers, or a combination to review the applications under this solicitation. An external peer reviewer is an expert in the field of the subject matter of a given solicitation who is NOT a current U.S. Department of Justice employee. An internal reviewer is a current U.S. Department of Justice employee who is well-versed or has expertise in the subject matter of this solicitation. Eligible applications will be evaluated, scored, and rated by a peer review panel. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer (OCFO), in consultation with OJJDP, conducts a financial review of applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants; examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs; and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General (AAG), who also may give consideration to factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Additional Requirements

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Find additional information for each requirement at www.ojp.usdoj.gov/funding/other_requirements.htm.

- Civil Rights Compliance
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA)

- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with <u>Office of Justice Programs Financial Guide</u>
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Active CCR Registration

Appendix: Application Checklist

OJJDP FY 2011 Best Practices for Juvenile Drug Courts Training

This application checklist has been created to assist in developing an application.

Eligibility Requirement:
The federal amount requested is within the allowable limits of \$2 million.
What an Application Is Expected to Include:
Application for Federal Assistance (SF-424) (see page 10)
Program Narrative (see page 11) ——Format (double spaced, 12-point standard font, 1" standard margins, narrative is 30 pages or less) ——Statement of the Problem ——Performance Measures Requirements ——Program Design and Implementation ——Capabilities/Competencies
Budget Detail Worksheet and Budget Narrative (see page 13)Indirect Cost Rate Agreement (if applicable) (see page 13)Tribal Authorizing Resolution (if applicable) (see page 13)
Other Attachments (see page 14)logic modeltimeline or milestone chartrésumés of all key personneljob descriptions for all key positionsletters of support/MOUs from partner organizationsevidence of nonprofit status, e.g., copy of tax exemption letter from the Internal Revenue Service
Other Standard Forms, Certifications, and Other Components (see page 14), including DUNS number CCR registration Certifications Disclosure of lobbying activities (if applicable) Accounting System and Financial Capability Questionnaire (if applicable)