OMB No. 1121-0329 Approval Expires 07/31/2016

Office of Justice Programs

Office of Juvenile Justice and Delinquency Prevention



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office of Juvenile Justice and Delinquency Prevention (OJJDP)</u> and the Bureau of Justice Assistance (BJA) are seeking applications for their Fiscal Year 2014 National Gang Center. This program furthers DOJ's mission by contributing to reductions in gang-related crime and violence and gang activity by juveniles and adults.

OJJDP FY 2014 National Gang Center

Eligibility

Eligible applicants are limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee. (See Eligibility, page 4.)

OJJDP welcomes applications that involve two or more entities; however, one eligible entity must be the applicant and the others must be proposed as subrecipients. The applicant must be the entity with primary responsibility for conducting and leading the project.

Deadline

Applicants must register with <u>Grants.gov</u> prior to submitting an application. (See "How To Apply," page 21.) All applications are due by 11:59 p.m. eastern time on July 14, 2014. (See "Deadlines: Registration and Application," page 4.)

All applicants are encouraged to read this <u>Important Notice: Applying for Grants in</u> Grants.gov.

Contact Information

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800-518-4726 or 606-545-5035, or via e-mail to support@grants.gov. Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

Applicants who experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their applications by the deadline must e-mail <u>JIC@telesishq.com</u> within 24 hours after the application deadline and request approval to submit their application.

For assistance with any other requirements of this solicitation, contact the Justice Information Center (JIC) at 1–877–927–5657, via e-mail to <u>JIC@telesishq.com</u>, or by <u>live Web chat</u>. JIC

hours of operation are 8:30 a.m. to 5:00 p.m. eastern time, Monday through Friday, and 8:30 a.m. to 8:00 p.m. eastern time on the solicitation close date.

Grants.gov number assigned to this announcement: OJJDP-2014-3925 Release date: May 29, 2014

Modified date: June 26, 2014

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OJJDP FY 2014 National Gang Center (CFDA # 16.544)

Overview

The Office of Juvenile Justice and Delinquency Prevention (OJJDP) and the Bureau of Justice Assistance (BJA) will award a cooperative agreement to continue the activities of the National Gang Center. The Center provides training and technical assistance to OJP-funded programs and communities across the country, tracks current research and trends on gangs, and maintains a database of comprehensive information on the development and implementation of effective gang prevention, intervention, and suppression strategies. Many of these strategies and effective programs are on the Center's Strategic Planning Tool that is designed to help communities assess and address local gang problems. This program is authorized pursuant to paragraph (16) under the State and Local Law Enforcement Assistance heading and under paragraph (3)(B) under the Juvenile Justice heading in the Department of Justice Appropriations Act, 2014, P.L. 113-76, 128 Stat. 5, 63, 64.

Deadlines: Registration and Application

Applicants must register with Grants.gov prior to submitting an application. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to **submit applications 72 hours** prior to the application due date. The deadline to apply for funding under this announcement is 11:59 p.m. eastern time on July 14, 2014. See "How To Apply" on page 21 for details.

Eligibility

Eligible applicants are limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee.

OJJDP welcomes joint applications from two or more eligible applicants; however, one applicant must be clearly indicated as the primary applicant (for correspondence, award, and management purposes) and the others indicated as coapplicants.

Project-Specific Information

Gangs continue to have a significant adverse impact on youth, families, and communities. From 1995 through 2011, the National Gang Center conducted the annual National Youth Gang Survey to collect data from a large, representative sample of local law enforcement agencies to track the size and scope of the national gang problem. According to the 2011 survey, it is estimated there were 29,900 gangs and 782,500 gang members throughout 3,300 jurisdictions in the United States. As in previous years, survey data indicate that serious gang activity is concentrated primarily in urban areas, especially larger cities and suburban counties (i.e., metropolitan areas). Nearly 90 percent of all gang-related homicides nationally occurred in metropolitan areas in 2011. Three-fourths of all gang members and 56 percent of the gangs across the United States were in metropolitan areas.

According to the National Gang Threat Assessment that the Federal Bureau of Investigation (FBI) conducts annually, in 2011, approximately 1.4 million street, prison, and motorcycle gang members comprising more than 33,000 gangs were active in the United States. Gang membership increased most significantly in the Northeast and Southeast regions, although the West and Great Lakes regions boast the largest number of gang members. Neighborhood-based gangs, hybrid gang members, and national-level gangs, such as the Sureños, are rapidly expanding in many jurisdictions. Many communities are also experiencing an increase in African, Asian, Caribbean, Eurasian, and other ethnic-based gangs.

It is very difficult to estimate numbers for specific gangs, but the FBI has estimated 18th Street membership to be from 30,000 to 50,000 (in the United States and Central America) and MS-13 membership to be from 30,000 to 50,000 members and associate members worldwide with 8,000 to 10,000 of them residing in the United States.

In sum, gang activity and its associated violence remains an important and significant component of the U.S. crime problem. While it has been reasonably assumed that gang-related violence would follow the overall dramatic declines in violent crime across the United States, new national data¹ reveal overwhelming evidence to the contrary—that is, gang violence rates have continued at exceptional levels over the past decade despite the remarkable overall crime drop in the United States.

In October 2009, OJJDP and BJA merged the National Youth Gang Center with the National Gang Center to leverage resources more effectively and create a single, more efficient entity to respond to the needs of researchers, practitioners, and the public. This new partnership recognizes that street gang activities include all ages and a balanced, comprehensive approach is needed to reduce gang involvement and gang crime.

The Office of Justice Programs-funded training and technical assistance supports state, local, and tribal jurisdictions through several vehicles, including onsite technical assistance; offsite technical assistance (e.g., telephone, e-mail, Internet, distance learning); peer-to-peer information exchange and mentoring (individual, group, or jurisdiction wide); publication development and dissemination; information sharing; conferences, workshops, and training events, including agenda development, speaker identification, and logistical support; and curriculum development.

BJA and OJJDP are seeking a training and technical assistance provider to continue the development of antigang curricula and deliver training to local law enforcement and communities around the United States. The provider also will support the Center, including its Web site. More information about the National Gang Center is available at www.nationalgangcenter.gov/.

Purpose

The National Gang Center provides national leadership, information, training, and technical assistance to the field. The Center supports comprehensive community-based anti-gang initiatives, law enforcement, and practitioners, including faith-based programs, who provide direct services in gang prevention, intervention, suppression, and reentry programs nationwide. The operations of the Center contribute to reductions in gang-related crime and violence and juvenile and adult gang activity.

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¹ http://www.ojjdp.gov/pubs/242884.pdf.

Goals, Objectives, and Deliverables

The National Gang Center will accomplish the following:

- develop and maintain the Web site to serve as a one-stop shop for publications and
 practitioner-oriented resources on youth and street gangs. The site must include research
 and best practices on gang prevention/intervention and comprehensive, community-based
 antigang programs. It must include a database on gang-related legislation, searchable by
 state or topic. Maintaining the Center's operations includes developing and delivering new
 gang-related courses based upon operational and policy requirements for the law
 enforcement and criminal justice communities and identifying emerging gang issues.
- identify promising gang programs and strategies and maintain this information in a searchable database. This should include a review and synthesis of all new research and evaluation material on antigang programs, strategies, and initiatives. The Center should use a targeted marketing plan to disseminate this information to federal, state, and local practitioners actively involved in antigang efforts.
 - o provide national training coordination services and technical assistance support to the Gang Resistance Education and Training (G.R.E.A.T.) Program. G.R.E.A.T. is a school-based, law enforcement officer-instructed, classroom curriculum. With prevention as its primary objective, the program is intended as an immunization against delinquency, youth violence, and gang membership. G.R.E.A.T. offers a continuum of components for students and their families.
- develop a comprehensive antigang training plan to be delivered to law enforcement agencies/personnel. For young offenders, law enforcement are often the first point of contact with the juvenile justice system. The Center should tailor this training and technical assistance initiative specifically for different types of law enforcement personnel. A nonexhaustive list of these various types of units and positions includes juvenile gang units, juvenile divisions, tribal law enforcement, school resource officers, and departments that support a non-traditional team-based approach to community supervision for juvenile offenders.
- support the production of publications and resource materials for federal, state, and local
 practitioners; policymakers; and researchers, as OJJDP and BJA approve. The Center
 should maintain a gang information listserv where practitioners can communicate with one
 another around this issue.
- deliver the following existing antigang training curricula/courses and develop new antigang courses based upon emerging gang issues:
 - Basic Gang Investigators Course provides a standardized core curriculum of generalized subject matter to support the investigation and prosecution of street gangs, coupled with a module containing location-specific intelligence about gangs in a region. The course delivery should be no longer than 4 days in length and should be directed to new gang investigators (individuals with less than 1 year of experience). A minimum of five trainings will be conducted.

- Gang Unit Supervision provides a standardized core curriculum for state and local law enforcement officers who are currently or soon will be assigned to supervisory or command duties in a gang unit or task force. The course delivery should be no longer than 4 days in length and a minimum of two trainings will be conducted.
- Gangs in Indian Country provides a standardized core curriculum for tribal law enforcement officers assigned to antigang duties. Blocks of instruction will include Native American Gangs Overview, Regional Gang Overview, Gang Intelligence, Gang Investigations, Interviewing Gang Members, Awareness of the Gang Influence on Tribal Crime, Gang Suppression Strategies and Tactics, Jurisdictional Legal Considerations, and Resources and Programs. The course delivery should be no longer than 4 days in length and a minimum of four trainings will be conducted.
- Strategy Seminar for Law Enforcement Executives provides a standardized core curriculum for police chiefs, sheriffs, and senior command staff of large, medium, and small communities. One or more senior officials with indepth experience in the issues affecting the attendees (e.g., media relations, working with activist organizations, determining gang unit missions, and balancing community relations with gang suppression) facilitate the discussion. The course delivery should be no longer than 1 day in length and a minimum of two trainings will be conducted.
- identify emerging issues and suitable topics for development into curricula for gang investigators, such as social network analysis.
- offer services in accordance with adult learning theory that use a variety of delivery methods (e.g. distance learning, etc.). As appropriate and required, the award recipient will conduct an impact evaluation of the courses developed and delivered. That evaluation should include not only contacting individuals who attend the training but also that individual's supervisor.
- respond to training and technical assistance requests in the subject area of gangs (e.g.,
 provide access to antigang information for law enforcement, other criminal justice
 professionals, nongovernmental organizations, and community members and assist in the
 development of antigang programs, strategies, and policy). OJJDP and BJA will give priority
 consideration to current Project Safe Neighborhood sites for recipients of training and
 technical assistance services.
- support communications efforts:
 - develop publications on emerging gang-related issues that range from policy briefs (2 to 5 pages) to larger documents (10 to 50 pages) and work with a current Project Safe Neighborhoods site research partner to develop policy briefs on that site's comprehensive strategy to reduce illegal gun and gang violence.
 - o develop and maintain a calendar of related training and technical assistance activities.
- coordinate, as OJJDP directs, with other OJJDP providers developing and providing training and technical assistance for school resource officers.

OJJDP will expect the successful applicant to participate in OJJDP-convened meetings or conference calls with other providers to discuss the goals of this project and facilitate the development of memoranda of understanding for the project. The other providers include, but are not limited to, the National Training and Technical Assistance Center, the Violence Prevention Technical Assistance provider (to be selected by September 30, 2014), the Center for Disease Control's Youth Violence Prevention Centers/Training and Technical Assistance Center, and the National Network of Safe Communities, developers of the Community-Based Violence Prevention program's Cure Violence and Group Violence Reduction Program.

Evidence-Based Programs or Practices

OJP strongly emphasizes the use of data and evidence in policy making and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:

- improving the quantity and quality of evidence OJP generates;
- integrating evidence into program, practice, and policy decisions within OJP and the field;
 and
- improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based. OJP's CrimeSolutions.gov Web site and OJJDP's Model Programs Guide Web site are two resources that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

Attorney General's National Task Force on Children Exposed to Violence

OJJDP is committed to facilitating identification, screening, assessment, and treatment to promote the recovery and well-being of children, youth, and families who have been exposed to violence. In December 2012, the Attorney General's National Task Force on Children Exposed to Violence published a report with more than 50 recommendations to improve the nation's response to children exposed to violence. The report can be found at www.justice.gov/defendingchildhood/cev-rpt-full.pdf. OJJDP encourages applicants to review the report and, when appropriate, include the use of trauma-informed care and training in the project design of programs serving youth and families.

Amount and Length of Award

OJJDP will make one award for as much as \$1.5 million for a 12-month award period. All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law. Subject to performance, need, and the availability of funds, OJJDP may provide supplemental and continuation funding for as many as two additional 1-year increments.

OJJDP and BJA will enter into a cooperative agreement with the successful applicant. In furtherance of the goals and objectives described above, OJJDP/BJA's role will include the following tasks:

- reviewing and approving major work plans, including changes to such plans, and key decisions pertaining to project operations.
- reviewing and approving major project-generated documents and materials used to provide project services.
- providing guidance in significant project planning meetings and participating in project sponsored training events or conferences.

Budget Information

Limitation on Use of Award Funds for Employee Compensation, Waiver. With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the award recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2014 salary table for SES employees is available at www.opm.gov/pay-leave. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. Applicants requesting a waiver should include a detailed justification in the budget narrative of their applications. Applicants who do not submit a waiver request and justification with their applications should anticipate that OJP will request that they adjust and resubmit their budgets.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs. OJP strongly encourages applicants who propose to use award funds for any conference-, meeting-, or training-related activity to review carefully—before submitting an application—the OJP policy and guidance on "conference" approval, planning, and reporting available at www.ojp.gov/funding/confcost.htm. OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most such costs for cooperative agreement recipients and of some such costs for grant recipients; and (3) set cost limits, including a general prohibition of all food and beverage costs.

Costs Associated with Language Assistance (if applicable). If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking

reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services, where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at www.ojp.usdoj.gov/funding/other_requirements.htm.

Match Requirement. This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

OJJDP Training and Technical Assistance Awardee Standards

OJJDP has developed the *Core Performance Standards for Training, Technical Assistance, and Evaluation* to promote among providers the consistency and quality of OJJDP-sponsored training and technical assistance and to advance common expectations of performance excellence. The standards present minimum expectations that providers must meet for effective practice in the planning, coordination, delivery, and evaluation of training. Award recipients must coordinate with OJJDP's National Training and Technical Assistance Center (NTTAC) in the assessment and delivery of services to ensure the effective use of OJJDP grant funding. For additional information, go to OJJDP's Center's NTTAC Web site www.nttac.org.

Requirements related to coordination of activities will include, but are not limited to:

- Coordination with OJJDP NTTAC. OJJDP requires all training and technical assistance
 projects to coordinate their activities with OJJDP NTTAC by complying with all
 OJJDP/NTTAC protocols to ensure coordinated delivery of services among providers and
 effective use of OJJDP grant funding. OJJDP reserves the right to modify these protocols at
 any time with reasonable notice to the grantee prior to project completion.
- OJJDP Funded Webinars. The award recipient must comply with OJJDP's Webinar
 Guidelines, as described in the core performance standards. Minimally, OJJDP training and
 technical assistance providers will submit to OJJDP NTTAC information in advance of all
 events for the online calendar, use the approved OJJDP presentation template, and record
 events and upload the files onto NTTAC's Online University.
- Training Information Sharing. The Office of Justice Programs (OJP) will be collecting
 information from its program offices on OJP-funded training and technical assistance
 events. Award recipients must use OJJDP's standard electronic training request form and
 submit information to NTTAC on all training events (i.e. name of requestor, description of
 request, dates of event, etc.) 30 days in advance of the event date and report additional
 data as OJJDP requires.

Performance Measures

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111–352, applicants who receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. OJP will require any award

recipient, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. OJJDP will require award recipients to submit semiannual performance metrics of relevant data through the Data Reporting Tool (DCTAT) located at www.ojjdp-dctat.org/. Performance measures for this solicitation are as follows:

Program Goals	Performance Measures	Data Grantee Provides
Prevent gang-related crime, violence, and gang involvement among youth and adults in all	Number of organizations to which technical assistance is provided.	Number of organizations to which technical assistance is provided during the current reporting period: law enforcement, other justice agencies, and community organizations.
communities. To provide training	Number of planning/training activities conducted.	Number of training events conducted during the current reporting period.
and technical assistance and enhance the capacity of local law enforcement, justice agencies, and	Number of participants who successfully complete the training.	Number of individuals trained during the current reporting period: includes law enforcement, other justice agencies, and community representatives.
community organizations to prevent and reduce gang involvement and crime.	Number of organizations reporting improvements in operations based on training and technical assistance.	Number of organizations (law enforcement, other justice, and community organizations) reporting improvements in operations based on training and technical assistance.
	Percentage of training participants exhibiting increased knowledge of the program area.	During the current reporting period, the number of individuals completing both pre- and post-technical assistance/ training tests/evaluations that demonstrate improved understanding of strategies to address gang crime.
	Number of program materials developed.	Number and type of products created to serve the field.

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section "What an Application Is Expected To Include" on page 12 for additional information.

Project Evaluations

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations or statistical data collections should be aware that these activities (such as systematic investigations to develop or contribute to generalizable knowledge) may constitute research, which is defined as follows:

Research means a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge. Activities that

meet this definition constitute research for the purposes of this policy, whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities (28 C.F. R. § 46.102(d). The following information pertains to applications that propose to conduct research and involves human subjects:

DOJ regulations (28 C.F.R. Part 46) protect the human subjects of federally funded research. In brief, 28 C.F.R. Part 46 requires that an Institutional Review Board, in accordance with the regulations, review and approve most research involving human subjects that any federal department or agency conducts or supports before an award recipient may expend federal funds for that research. As a rule, persons who participate in federally funded research must provide their informed consent and must be permitted to terminate their participation at any time. Funding recipients, before they will be allowed to spend OJP funds on any research activity involving human subjects, must submit appropriate documentation to OJP showing compliance with 28 C.F.R. Part 46 requirements, as requested by OJP.

DOJ regulations (28 C.F.R. Part 22) require recipients of OJP funding to submit a Privacy Certificate as a condition of approval of any grant application or contract proposal that contains a research or statistical component under which information identifiable to a private person will be collected, analyzed, used, or disclosed. The funding recipient's Privacy Certificate includes a description of its policies and procedures to be followed to protect the confidentiality of identifiable data (28 C.F.R. § 22.23). The Department's regulations provide, among other matters, that: "Research or statistical information identifiable to a private person may be used only for research or statistical purposes (28 C.F.R. § 22.21)." Moreover, any private person from whom information identifiable to a private person is collected or obtained (either orally or by means of written questionnaire or other document) must be advised that the information will only be used or disclosed for research or statistical purposes and that compliance with the request for information is voluntary and may be terminated at any time (28 C.F.R. § 22.27).

OJP has developed a decision tree (www.ojp.usdoj.gov/funding/pdfs/decision_tree.pdf) to assist applicants in assessing whether an activity they plan to undertake with OJP funds may constitute research involving human subjects. Applicants should review this decision tree and include a statement in their application narrative that clarifies if they intend to use any information from a project evaluation or data collection to contribute to generalizable knowledge or if they intend to use the information solely for internal improvements and/or to meet OJP's performance measures data reporting requirements. If an application includes a research, demonstration evaluation, or statistical data collection component, OJP will examine that component to determine whether it meets the definition of research.

For additional information visit the "Research and Protection of Human Subjects" and the "Confidentiality" sections of the OJP "Other Requirements" Web page at www.ojp.usdoj.gov/funding/other-requirements.htm.

What an Application Is Expected To Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that applications that are determined to be nonresponsive to the scope of the solicitation, do not request funding within the funding limit, or do not include the application elements that OJJDP has designated to be critical will neither proceed to peer review nor receive further consideration. Under this solicitation, OJJDP has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet or Budget Narrative.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. Also, OJP recommends that applicants include resumes in a single file.

1. Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and OJP's Grants Management System (GMS) take information from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable).

2. Project Abstract

Applications should include a high-quality project abstract that summarizes the proposed project in 400 words or less. Abstracts should be—

- written for a general public audience.
- submitted as a separate attachment with "Project Abstract" as part of its file name.
- single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

As a separate attachment, the abstract will **not** count against the page limit for the program narrative.

The abstract should include a brief description of the project's purpose, the population to be served, and the activities that the applicant will implement to achieve the project's goals and objectives. The abstract should describe how the applicant will measure progress toward these goals. The abstract should indicate whether the applicant will use any portion of the project budget to conduct research as described in Project Evaluations on page 11.

All project abstracts should follow the detailed template available at www.ojp.usdoj.gov/funding/Project_Abstract_Template.pdf.

3. Program Narrative

Applicants must submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program. The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point font, preferably Times New Roman.

Pages should be numbered "1 of 30," etc. The tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced and will count in the 30-page limit. Material required under the Budget and Budget Narrative and Additional Attachments sections will not count toward the program narrative page count. Applicants may provide bibliographical references as a separate attachment that will not count toward the 30-page program narrative limit. If the program narrative fails to comply with these length-related restrictions, OJJDP may consider such noncompliance in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) statement of the problem; (2) goals, objectives, and performance measures; (3) program design and implementation; and (4) capabilities/competencies. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section. The following sections should be included as part of the program narrative.

a. Statement of the Problem. Applicants should briefly describe the nature and scope of the problem that the program will address (e.g., gang activity, underage drinking, drug abuse, truancy, youth employment, school performance, etc.). The applicant should use data to provide evidence that the problem exists, demonstrate the size and scope of the problem, and document the effects of the problem on the target population and the larger community. Applicants should describe the target population and any previous or current attempts to address the problem.

Applicants should describe any research or evaluation studies that relate to the problem and contribute to the applicant's understanding of its causes and potential solutions. While OJJDP expects applicants to review the research literature for relevant studies, they should also explore whether unpublished local sources of research or evaluation data are available.

b. Goals, Objectives, and Performance Measures. Applicants should describe the goals of the proposed program and identify its objectives. When formulating the program's goals and objectives, applicants should be cognizant of the performance measures that OJJDP will require successful applicants to provide.

Goals. Applicants should describe the program's intent to change, reduce, or eliminate the problem noted in the previous section and outline the project's goals.

Program Objectives. Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be clearly linked to the problem identified in the preceding section and measurable. (Examples of measurable objectives include the following: to provide technical assistance to 40 law enforcement, other justice agencies, and community organizations during the current reporting period; to conduct 20 training events during the current reporting period)

Performance Measures. OJJDP does not require applicants to submit performance measures data with their applications. Performance measures (see Performance Measures, page 10) are included as an alert that OJJDP will require successful

applicants to submit specific data as part of their reporting requirements. For the application, applicants should indicate an understanding of these requirements and discuss how they will gather the required data, should they receive funding.

OJJDP encourages award recipients to use information from existing program records to fulfill performance measures reporting requirements rather than initiating new data collection activities for this purpose.

c. Project Design and Implementation. Applicants should detail how the project will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables stated in the Goals, Objectives, and Deliverables section on page 6.

This section should also include details regarding any leveraged resources (cash or inkind) from local sources to support the project and discuss plans for sustainability beyond the grant period.

Logic Model. Applicants should include a logic model that graphically illustrates how the performance measures are related to the project's problems, goals, objectives, and design. Sample logic models are available at www.ojjdp.gov/grantees/pm/logic_models.html. Applicants should submit the logic model as a separate attachment, as stipulated in Additional Attachments, page 17.

Timeline. Applicants should submit a realistic timeline or milestone chart that indicates major tasks associated with the goals and objectives of the project, assigns responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" at www.ojjdp.gov/grantees/timelines.html).

Applicants should submit the timeline as a separate attachment, as stipulated in Additional Attachments, page 17. On receipt of an award, the recipient may revise the timeline, based on training and technical assistance that OJJDP will provide.

d. Capabilities and Competencies. This section should describe the experience and capability of the applicant organization and any contractors or subgrantees that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Applicants should highlight their experience/capability/capacity to manage subawards, including details on their system for fiscal accountability. Management and staffing patterns should be clearly connected to the project design described in the previous section. Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and operations. Applicants should include a copy of an organizational chart showing how the organization operates, including who manages the finances; how the organization manages subawards, if there are any; and the management of the project proposed for funding.

Letters of Support/Memoranda of Understanding. If submitting a joint application, as described under Eligibility, page 4, applicants should provide signed and dated letters of support or memoranda of understanding for all key partners that include the following:

- expression of support for the program and a statement of willingness to participate and collaborate with it.
- description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational.
- estimate of the percent of time that the partner will devote to the planning and operation of the project.

4. Budget Detail Worksheet and Budget Narrative

Applicants should provide a budget that (1) is complete, allowable, and cost-effective in relation to the proposed activities; (2) shows the cost calculations demonstrating how they arrived at the total amount requested; and (3) provides a brief supporting narrative to link costs with project activities. The budget should cover the entire award period.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at www.ojp.usdoj.gov/financialguide/index.htm.

- a. Budget Detail Worksheet. A sample Budget Detail Worksheet can be found at www.ojp.gov/funding/forms/budget_detail.pdf. Applicants who submit their budget in a different format should include the budget categories listed in the sample budget worksheet.
- **b. Budget Narrative.** The budget narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Applicants should demonstrate in their budget narratives how they will maximize cost effectiveness of grant expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs, without compromising quality.

The narrative should be mathematically sound and correspond with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated <u>all</u> costs, and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

c. Non-Competitive Procurement Contracts In Excess of Simplified Acquisition Threshold. If an applicant proposes to make one or more non-competitive procurements of products or services, where the non-competitive procurement will exceed the simplified acquisition threshold (also known as the small purchase threshold), which is currently set at \$150,000, the application should address the considerations outlined in the OJP Financial Guide.

5. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) Attach a copy of the federally approved indirect cost rate agreement to the application. Applicants who do not have an approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the applicant organization, or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. For assistance with identifying your cognizant agency, contact the Customer Service Center at 1-800-458-0786 or at ask.ocfo@usdoj.gov. If DOJ is the cognizant federal agency, applicants may obtain information needed to submit an indirect cost rate proposal at www.ojp.usdoj.gov/funding/pdfs/indirect_costs.pdf.

6. Applicant Disclosure of High Risk Status

Applicants are to disclose whether they are currently designated high risk by another federal grant making agency. This includes any status requiring additional oversight by the federal agency due to past programmatic or financial concerns. If an applicant is designated high risk by another federal grant making agency, you must email the following information to OJPComplianceReporting@usdoj.gov at the time of application submission:

- the federal agency that currently designated the applicant as high risk;
- date the applicant was designated high risk;
- the high risk point of contact name, phone number, and email address, from that federal agency; and
- reasons for the high risk status.

OJP seeks this information to ensure appropriate federal oversight of any grant award. Unlike the Excluded Parties List, this high risk information does not disqualify any organization from receiving an OJP award. However, additional grant oversight may be included, if necessary, in award documentation.

7. Additional Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their applications. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés and/or letters of support/memoranda of understanding when assessing "capabilities/competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

a. Applicant disclosure of pending applications. Applicants are to disclose whether they have pending applications for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The

disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will subaward federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

- the federal or state funding agency.
- the solicitation name/project name.
- the point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202/000-0000; jane.doe@usdoj.gov
HHS/Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name "Disclosure of Pending Applications," to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page (e.g., "[Applicant Name on SF-424] does not have pending applications submitted within the last 12 months for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.").

- **b** logic model (see Logic Model, page 15)
- **c.** timeline or milestone chart (see Timeline, page 15)
- **d.** résumés of all key personnel
- **e.** job descriptions outlining roles and responsibilities for all key positions
- **f.** letters of support/memoranda of understanding from partner organizations (see Letters of Support/Memoranda of Understanding, page 15)
- **g.** evidence of nonprofit status, e.g., a copy of the tax exemption letter from the Internal Revenue Service, if applicable.
- **h.** evidence of for-profit status, e.g., a copy of the articles of incorporation, if applicable.

8. Accounting System and Financial Capability Questionnaire

Any applicant (other than an individual) that is a non-governmental entity and that has not received any award from OJP within the past 3 years must download, complete, and submit this form.

Selection Criteria

- 1. Statement of the Problem (10 percent)
- 2. Goals, Objectives, and Performance Measures (15 percent)
- 3. Project Design and Implementation (40 percent)
- 4. Capabilities and Competencies (30 percent)
- 5. Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should generally demonstrate how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.² (5 percent)

See What an Application Is Expected To Include, page 12, for the criteria that the peer reviewers will use to evaluate applications.

Review Process

OJP is committed to ensuring a fair and open process for awarding grants. OJJDP and BJA review the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OJJDP may use internal peer reviewers, external peer reviewers, or a combination, to review the applications. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer, in consultation with OJJDP and BJA, reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants, examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

² Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

Additional Requirements

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at www.ojp.usdoj.gov/funding/other_requirements.htm.

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- Reporting of Potential Fraud, Waste, and Abuse, and Similar Misconduct
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-Profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement

- Active SAM Registration
- Policy and Guidance for Approval, Planning, and Reporting of Conferences (including Meetings and Trainings)
- OJP Training Guiding Principles for Grantees and Subgrantees

How To Apply

Applicants must register in, and submit applications through Grants.gov, a "one-stop storefront" to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at www.Grants.gov. Applicants who experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at 800-518-4726 or 606–545–5035, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, processing delays may occur, and it can take several weeks for first-time registrants to receive confirmation and a user password. OJP encourages applicants to register several weeks before the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OJJDP strongly encourages all prospective applicants to sign up for Grants.gov e-mail notifications regarding this solicitation. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for updates will be notified.

Note on File Names and File Types: Grants.gov only permits the use of certain specific characters in names of attachment files. Valid file names may include only the characters shown in the table below. Grants.gov is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below. Grants.gov is designed to forward successfully submitted applications to OJP's Grants Management System (GMS).

Characters		Special Character	s
Upper case (A – Z)	Parenthesis ()	Curly braces { }	Square brackets []
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)
Underscore ()	Comma (,)	Semicolon (;)	Apostrophe (')
Hyphen (-)	At sign (@)	Number sign (#)	Dollar sign (\$)
Space	Percent sign (%)	Plus sign (+)	Equal sign (=)
Period (.)	When using the ampersand (&) in XML, applicants must use the		
	"&" format.		

GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." GMS may reject applications with files that use these extensions. It is important to allow time to change the type of file(s) if the application is rejected.

All applicants are required to complete the following steps:

1. Acquire a Data Universal Numbering System (DUNS) number. In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal

funds include a DUNS number in their applications for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at www.dnb.com. A DUNS number is usually received within 1-2 business days.

2. Acquire registration with the System for Award Management (SAM). SAM is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires all applicants (other than individuals) for federal financial assistance to maintain current registrations in the SAM database. Applicants must be registered in SAM to successfully register in Grants.gov. Applicants must update or renew their SAM registration annually to maintain an active status.

Applications cannot be successfully submitted in Grants.gov until Grants.gov receives the SAM registration information. The information transfer from SAM to Grants.gov can take up to 48 hours. OJP recommends that the applicant register or renew registration with SAM as early as possible.

Information about SAM registration procedures can be accessed at www.sam.gov.

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS number must be used to complete this step. For more information about the registration process, go to www.grants.gov/web/grants/register.html.
- **4.** Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Note that an organization can have more than one AOR.
- **5. Search for the funding opportunity on Grants.gov.** Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.544, titled "Youth Gang Prevention," and the funding opportunity number is OJJDP-2014-3925.
- 6. Complete the Disclosure of Lobbying Activities. All applicants must complete this information. Applicants who expend any funds for lobbying activities must provide the detailed information requested on the form *Disclosure of Lobbying Activities* (SF-LLL). Applicants who do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Submit a valid application consistent with this solicitation by following the directions in Grants.gov. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The message will state whether the application has been received and validated, or rejected due to errors, with an explanation. It is possible to first receive a message indicating that the application is received and then receive a rejection notice a few minutes or hours later. Submitting well ahead of the deadline provides time to correct the problem(s) that caused the rejection.

<u>Important:</u> OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

Click <u>here</u> for further details on DUNS, SAM, and Grants.gov registration steps and timeframes.

Note: Duplicate Applications. If an applicant submits multiple versions of an application, OJJDP will review only the most recent valid version submitted.

Experiencing Unforeseen Grants.gov Technical Issues

Applicants who experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the OJJDP contact identified in the Contact Information section on page 1 within 24 hours after the application deadline and request approval to submit their applications. The e-mail must describe the technical difficulties and include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s). Note: OJJDP does not automatically approve requests. After OJJDP reviews the submission and contacts the Grants.gov or SAM Help Desks to validate the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If OJP determines that the applicant failed to follow all required procedures, which resulted in an untimely application submission, OJP will deny the applicant's request to submit their application.

The following conditions are generally insufficient to justify late submissions:

- failure to register in SAM or Grants.gov in sufficient time.
- failure to follow Grants.gov instructions on how to register and apply as posted on its Web site.
- failure to follow each instruction in the OJP solicitation.
- technical issues with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page at www.ojp.usdoj.gov/funding/solicitations.htm.

Provide Feedback to OJP

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Provide feedback to OJPSolicitationFeedback@usdoj.gov.

IMPORTANT: This e-mail is for feedback and suggestions only. Replies are **not** sent from this mailbox. If you have specific questions on any program or technical aspect of the solicitation, **you must** directly contact the appropriate number or e-mail listed on the front of this solicitation

document. These contacts are provided to help ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please e-mail your resume to ojppeerreview@lmbps.com. The OJP Solicitation Feedback e-mail account will not forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

Application Checklist

OJJDP FY 2014 National Gang Center

This application checklist has been created to assist you in developing an application.

What an Applicant Should	Do:
Prior to Registering in GrantsAcquire a DUNs numbAcquire or renew regis	
•	nts.gov username/password (see page 22) tion from the E-Biz POC (see page 22)
Download Funding OpSign up for Grants.gov	: opportunity on Grants.gov (see page 22) portunity and Application Package e-mail notifications (optional) (see page 21) e: Applying for Grants in Grants.gov
General Requirements:	
Review "Other Require	<u>ements</u> " Web page
Scope Requirement:	
The federal amount red	quested is within the allowable limit of \$2 million.
•	rganization, including tribal nonprofit or for-profit organization ucation, including tribal institution of higher education
What an Application Is Exp	ected to Include:
Project Abstract (see program Narrative (see Budget Detail Workshee Budget Narrative (see Employee Comparative (see Read OJP policy available at workshee Disclosure of Lobbying Indirect Cost Rate Agree Applicant Disclosure of Additional Attachments	e page 13) eet (see page 16) page 16) pensation Waiver request and justification (see page 9) ey and guidance on "conference" approval, planning, and reporting ww.ojp.gov/funding/confcost.htm (see page 9) Activities (SF-LLL) (see page 22) eement (if applicable) (see page 17) f High Risk Status (see page 17) s (see page 17) psure of Pending Applications

_timeline or milestone chart (see page 15)
résumés of all key personnel
iob descriptions outlining roles and responsibilities for all key positions
letters of support/memoranda of understanding (see page 15)
_evidence of nonprofit status, e.g., a copy of the tax exemption letter from the
Internal Revenue Service, if applicable.
_evidence of for-profit status, e.g., a copy of the articles of incorporation, if
applicable.
_Accounting System and Financial Capability Questionnaire (see page 19)